

Title 20--DEPARTMENT OF INSURANCE
Division 200—Insurance Solvency and Company Regulation
Chapter 9--Third-Party Administrators (TPAs)

PROPOSED AMENDMENT

20 CSR 200-9.800 Annual Filings Due by March 1. The department is amending the rule and the rule's PURPOSE statement.

PURPOSE: The department is amending the rule by creating an exemption to the requirement to annually file an audited financial statement as part of the report required by section 376.1093, RSMo, for certain, smaller companies that are not part of an insurance holding company system.

*PURPOSE: This rule prescribes the **[processes and forms to be used by a third-party administrator to notify the department of the names and addresses of all insurers and trusts with which the third-party administrator had an agreement during the preceding fiscal year] form and content of the annual report required by section 376.1093, RSMo.***

(1) Before March 1 of each year following receipt of its certificate of authority, the third-party administrator shall electronically file an annual report with the director of the department pursuant to section 376.1093, RSMo stating the complete names and addresses of all insurers and trusts with which the administrator had an agreement during the preceding *[fiscal]* **calendar** year (Notification of Insurer/Trust Agreements form), a completed TPA Questionnaire, a completed TPA 376.1092 Form, and an audited financial report for the preceding *[calendar]* **fiscal** year. The above-referenced forms are available on the department's website or by contacting the department **and should be filed through the online portal on the department's website.**

(2) The audited financial report may be completed on either an individual or consolidated basis. A Form 10-K may alternatively be used to satisfy this requirement.

(3) A third-party administrator that is not a member of an insurance holding company system as that term is defined at section 382.010(6), RSMo, shall be exempt from filing an audited financial report as part of its annual report if any one of the following conditions are met--

(A) The third-party administrator reported less than \$1,000,000 of total assets in the immediately preceding fiscal year;

(B) The third-party administrator reported less than \$5,000,000 of total revenue in the immediately preceding fiscal year; or

(C) The third-party administrator has been in existence for fewer than two (2) fiscal years as of December thirty-first of the immediately preceding calendar year.

(4) A third-party administrator that is exempt from filing an audited financial report under section (3) of this rule shall instead file an unaudited financial report certified by two company officers.

AUTHORITY: sections 374.045 and 376.1095, RSMo 2016. Original rule filed Dec. 13, 1993, effective Aug. 28, 1994. Amended: Filed Nov. 23, 1998, effective July 30, 1999. Amended: Filed Jan. 8, 2019, effective July 30, 2019. Amended: Filed Feb. 27, 2026.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private parties more than five hundred (\$500) in the aggregate.

*NOTICE OF PUBLIC HEARING AND NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Department of Commerce and Insurance, 301 West High Street, Jefferson City, MO 65101. To be considered, comments must be received within thirty (30) days after publication of this notice in the **Missouri Register**. A public hearing is scheduled for 10:00 am, May 1, 2026, in Room 530, Truman State Office Building, 301 West High Street, Jefferson City, MO 65101.*